

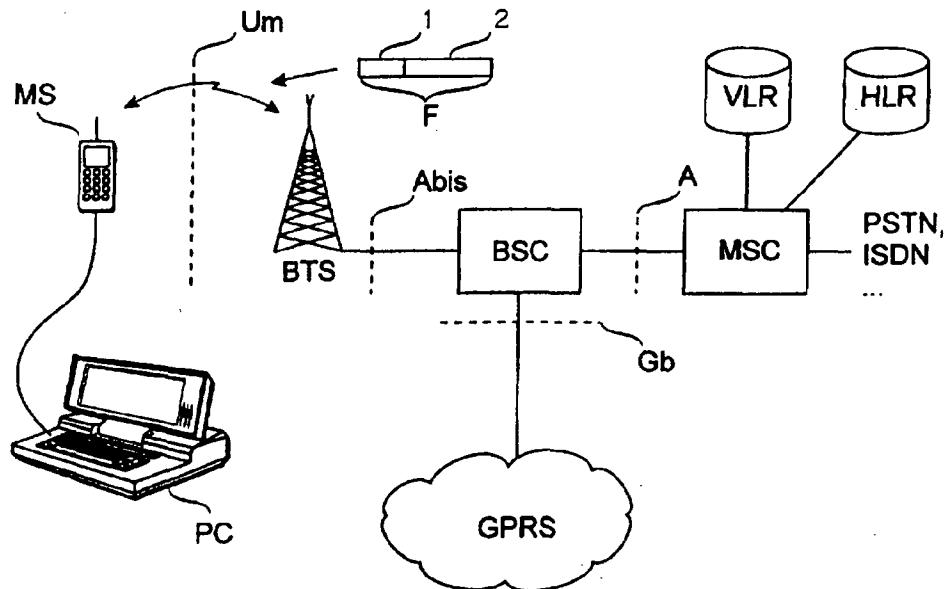


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(75) Inventor/Applicant (for US only): KARI, Hannu, H. [FI/FI]; Kullervonkuja 9 B 9, FIN-02880 Veikkola (FI).	With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.
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## (54) Title: DATA COMPRESSION ON A DATA CONNECTION



## (57) Abstract

The invention relates to compressing and transmitting data on a connection between two parties in a telecommunication system comprising at least one slow transmission channel, such as the air interface Um of the radio network. The data to be transmitted are assembled into frames (F) comprising a header section (1) and a data section (2). Prior to transmission, at least the header (1) or the data section (2) of at least some of the frames (F) are compressed. The transmitting party has available at least two different compression algorithms and the receiving party has available at least two different decompression algorithms. The transmitting party compresses at least one section (1, 2) of at least some of the frames (F) with at least two different algorithms, and transmits the frame (F) compressed with the algorithm that produced the best compression ratio.

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## DATA COMPRESSION ON A DATA CONNECTION

### BACKGROUND OF THE INVENTION

The invention relates to improving the capacity of data transfer in a communication system, or more specifically a mobile communication system.

5       Figure 1 shows, from the point of view of the invention, the essential parts of a cellular mobile communication system. Mobile stations (MS) communicate with base transceiver stations (BTS) over an air interface Um. The base stations are controlled by base station controllers (BSC) which are connected to mobile switching centers (MSC). A subsystem under control of a  
10 base station controller BSC, including the base stations BTS<sub>n</sub> it controls, is commonly referred to as a base station subsystem (BSS). The interface between the mobile switching center MSC and the base station subsystem BSS is referred to as an A-interface. The part of the mobile communication system at the MSC side of the A-interface is referred to as a network  
15 subsystem (NSS). Correspondingly, the interface between the BSC and the BTS is referred to as an Abis interface. The mobile switching center MSC handles the connecting of incoming and outgoing calls. It performs functions similar to those of an exchange of a public switched telephone network (PSTN).  
In addition to these, it also performs functions characteristic of mobile  
20 communications only, such as subscriber location management, jointly with the subscriber registers VLR and HLR of the network. As an alternative to the circuit switched connection described above, the connection to the mobile station MS may also take place via a packet network GPRS (General Packet Radio Service).  
25       One of the growing fields of application for mobile stations is to establish data links in connection with portable computers. Such a computer is represented by the computer PC in Figure 1. The computer PC and the mobile station MS may be separate units or they may form an integrated whole. The data to be transmitted on data links is assembled into frames (F) that typically  
30 contain a header section 1 and a data section 2. If an increase occurs in the number and/or use of mobile stations, a bottleneck will be met in the form of the transfer capacity of the air interface Um. The transfer capacity of the air interface may be increased by compressing the data to be transmitted over the air interface. The compression is based on some bit patterns in the data stream  
35 being frequent and some occurring only once. The bit patterns which occur frequently may be sent only once as a whole, and later it is possible to send only

a reference to the bit pattern sent earlier. A number of such compression algorithms has been developed, including RLE (Run Length Encoding) and LZ (Lempel-Ziv) with its different variants, JPEG, MPEG etc. Within the scope of this application, compression ratio of an algorithm refers to the ratio between the

5 length of an uncompressed bit string (e.g. a frame) and the length of the bit string compressed with the algorithm in question.

The conventional packet communication described above encounters the problem that data of a specific type may be compressed more efficiently with a particular compression algorithm whereas data of some other type may be

10 compressed more efficiently with another algorithm. Also such bit patterns exist that cannot be compressed with any algorithm, whereby the identifier indicating the algorithm used just adds to the length of the bit string. A further problem with the prior art compression carried out with a fixed algorithm is that it does not offer optimal protection against eavesdropping because the algorithm does not

15 change.

For example, PCT application WO 94/14273 discloses a system for compressing data to be transmitted with a number of different compression means. However, the WO 94/14273 application mainly relates to transmission of video information to several receivers simultaneously. Because the same

20 information is transmitted to several receivers, such a system does not comprise a bottleneck comparable to the air interface of a cellular packet radio network wherein unique information is transmitted between each pair of transmitter and receiver. Also the WO 94/14273 application assumes that the best compression method can be determined by the contents of the transmitted information. This

25 assumption may be correct if it is known before transmission that the information will be video information.

#### BRIEF SUMMARY OF THE INVENTION

It is consequently the object of the invention to develop a method by means of which the limited capacity of the air interface or another low-speed

30 telecommunication resource may be utilized as efficiently as possible, thereby simultaneously enhancing traffic encryption against unauthorized listening. The objects of the invention are achieved by a method which is characterized by that which is set forth in the independent claims. The preferred embodiments of the invention are set forth in the dependent claims.

35 The invention is based on the notion that in a general case, when the contents of the data to be transmitted may be arbitrary, the most efficient

compression algorithm can be established only experimentally. Because of this, the data to be transmitted are, according to the invention, compressed with a number of different algorithms, and the best of the compression results is transmitted to the receiving party. The invention is further based on the view that

5 it is worth while in a telecommunication system which contains a slow telecommunication resource, such as an air interface limiting the capacity, to carry out a lot of extra computation in a location where that is cheap and where capacity enlargements may result from such an action.

The method according to the invention utilises the bandwidth of the

10 bottleneck (such as the air interface in a telecommunication system) in the most effective manner possible because the compression algorithm is selected on the basis of actual testing between different algorithms. The method is applicable to several types of telecommunication systems. The method according to the invention may be used adaptively so that the transmitting party learns to apply a

15 specific algorithm on a specific connection. A further advantage of the inventive method is provided by improvements regarding the protection of telecommunication as an eavesdropper will have difficulties in interpreting a message whose compression algorithm may change in the middle of the connection, even between successive packets.

## 20 BRIEF SUMMARY OF THE FIGURES

In the following, the invention will be described in closer detail in connection with its preferred embodiments and with reference to the accompanying drawings in which:

Figure 1 shows the parts of the mobile communication network that

25 are significant to the invention,

Figures 2A and 2B illustrate the steps of the inventive compression.

## DETAILED DESCRIPTION OF THE INVENTION

With reference to Figure 1, the invention will be described in an environment in which there is a mobile station MS and a computer PC

30 connected thereto at the first end of the transmission channel, and at the other end a network subsystem NSS of which is shown a base station BTS, a base station controller BSC and a mobile switching center MSC. To keep the description simple, it is assumed that the compression and decompression algorithm according to the invention is implemented in the MS, but it may equally

35 well be implemented in the PC. The preferred embodiment of the invention

relates to enhancing the traffic that takes place over the air interface Um, but in place of the air interface there may also be some other transmission channel having a limited capacity.

In its simplest form, the invention may be applied so that a fixed set 5 of compression and decompression algorithms are implemented in the mobile station MS and the network subsystem NSS. This set of algorithms is the same in the MS and the NSS. The transmitting party compresses each frame F with each algorithm it knows, and selects the algorithm that yields the best compression ratio. In addition, the transmitting party inserts an information field 10 in the frame to be transmitted, indicating which algorithm the data have been compressed with. On the basis of this information field, the receiving party will know which algorithm to use in order to decompress the data.

This simple implementation has at least two problems. Firstly, when using different equipments, the transmitting and receiving parties do not know in 15 advance which algorithms have been installed at the counterpart. In addition, it is not possible to add new algorithms in the system without simultaneous updating of all the equipments in the system. Secondly, compressing each frame with all possible algorithms considerably increases requirements for computation capacity. The first of the problems may be solved by a negotiation 20 protocol between the transmitting and receiving parties. The latter problem may be solved by optimizing the compression procedure, e.g. by making it adaptive.

In a mobile communication system, it could in principle be speculated that the negotiation for finding out the capabilities of the parties is unnecessary and that the features of each mobile station could be stored in a home location 25 register or a similar equipment register. This arrangement would involve a lot of problems, the biggest of which probably having to do with the GSM type of systems maintaining the subscriber location by means of SIMs (Subscriber Identity Modules). If a SIM is shifted to another, for example a rented, terminal equipment, the system will assume that the second terminal equipment has the 30 same capabilities as the original one. This assumption is not necessarily correct.

For this reason, it is better to identify the capabilities of the transmitting and receiving parties at the beginning of the communication. In mobile communication systems, the negotiation must be carried out anew when the mobile station roams to a new area. A suitable and simple negotiation 35 protocol is, for example, such that when taking new algorithms in use they are issued a running number, and at the beginning of the connection or in

association with a location area change the calling equipment transmits an inquiry to the called equipment, concerning the available algorithms, to which the called equipment responds by sending a bitmap in which a bit set at an algorithm means that the algorithm is available. According to an alternative 5 negotiation protocol, the calling equipment transmits a short test message compressed with all the algorithms being tested, and the called equipment responds with an affirmative acknowledgment if it is capable of decompressing the message, and if it is not, with a negative acknowledgment. If there is installed, for each compression algorithm, both a compressing and a 10 decompressing algorithm in the transmitting party as well as the receiving party, the common capabilities of the transmitting party and the receiving party may be identified so that e.g. the calling equipment identifies the capabilities of the called equipment. It is unnecessary for the called equipment to identify the 15 capabilities of the calling equipment as the latter cannot have capabilities other than those it has already inquired of the called equipment.

On the other hand, it is mathematically considerably simpler to decompress a packet than to compress it. This holds particularly well true regarding compression of live video images and fractal algorithms, especially. Consequently, it could also be conceivable to implement e.g. in mobile 20 stations a larger number of algorithms for decompressing a packet than for compressing it. In such a case, the negotiation protocol may be supplemented so that also the called equipment identifies the capabilities of the calling equipment.

For an efficient compression of data, the compression must take 25 place prior to encryption and splitting the data into frames. According to an embodiment of the invention, the header sections 1 of the frames F are compressed with a specific type of algorithm and the data sections 2 of the frames F are compressed with at least two different algorithms of which the one is selected that provides the best compression ratio. The header section is 30 compressed with an algorithm optimized for this purpose, said algorithm being substantially the same from one frame to another.

For example, when transmitting user-entered characters in TCP/IP frames in a Telnet connection, each character entered is normally sent in its own frame. This is because the user awaits a relatively quick response, after 35 no more than approximately 0.2 seconds from entering the character. On the other hand, the transmitting program does not know at which moment the next

entering is to take place, which means that each character has to be sent as a separate frame consisting of 40 bytes of header and 1 byte of data. The reference [1] discloses a method for compressing the header sections of TCP/IP frames into 3 - 5 bytes. The method according to the reference [1] 5 utilizes the fact that in the header sections of TCP/IP frames a lot of information is sent that the receiving party is able to form by itself on the basis of the header section in the previous frame. Similar redundancy is present e.g. in header sections of ATM cells.

The compression algorithm employed may be indicated to the 10 receiving party for example by transmitting, in each compressed frame F, an identifier indicative of the compression algorithm used. Alternatively, the receiving party may be sent information on the change in the compression algorithm. This information may be a separate frame, or a field or a peculiar bit pattern inserted to a frame normally transmitted.

15 To utilize the air interface as efficiently as possible, it is advantageous to carry out the compression procedure during those moments when the transmitting party for a reason or another may not transmit. In the GSM system, for example, the data are transmitted over the air interface as bursts in TDMA timeslots. Between the bursts, the transmitting party can 20 perform the necessary computations and thus utilize its computation capacity that it would otherwise waste just waiting for the next timeslot. At the allocated timeslot, it is possible to interrupt the compression and to transmit the frame compressed with the algorithm that up to that point has produced the best result.

25 The following discusses various options for reducing the computation requirements. The compression procedure can be optimized by for example compressing a frame F with all the algorithms used, i.e. those that were in the negotiations found to be supported by both parties.

The frame F that is compressed with all the algorithms employed is 30 preferably the first one representing a specific type of data, i.e. when the data type changes, the testing with the algorithms may be started from the beginning. The subsequent frames are each first compressed with the algorithm that at the previous frame yielded the best result. The other compression algorithms are not necessarily completed. Their processing may 35 be interrupted immediately as the length of the compressed frame obtains the length of the algorithm compressed with the optimal algorithm.

According to another embodiment, a sliding average of the compression ratio is maintained for each algorithm with which a frame has been compressed. The frames are compressed with different algorithms in an order determined by the compressing ratio obtained with the algorithms. If the 5 compression ratio of a frame with some algorithm exceeds a predetermined threshold value, the frame in question will not be compressed with the other algorithms. The predetermined threshold value may be a fixed multiplier, for example a number between 3 - 6. Alternatively, the threshold value may be a specific percentage, for example 80 - 90% of the compression ratio achieved 10 with the best algorithm up to that point.

If the time available is not sufficient for compressing each frame with each algorithm, the individual frames may be compressed for example with those 1 - 3 algorithms that up to that point show the best sliding average for the compression ratio. The remaining time - e.g. when waiting for the 15 transmission turn - is spent on testing the remaining algorithms one at a time. This is illustrated by the following example. It is assumed that there are 7 different algorithms available, and when waiting for each transmission timeslot there is time to test four of them. In such a case, at each timeslot, compression may be carried out with those two algorithms that up to that point 20 have yielded the best result. Of the other five algorithms, two are tested at each timeslot.

Encryption may further be improved if the algorithms are during the negotiation numbered in an order determined on the basis of a pseudorandom number known only to the parties. The same outcome may be reached by 25 changing the identifiers of the algorithms at a specific algorithm during the connection. It is advantageous to carry out the negotiation in an encrypted form. With these methods the advantage is obtained that the compression algorithm identifiers transmitted along with the frames are not unequivocal to eavesdroppers.

30 Figures 2A and 2B illustrate some of the steps in the compression method of the invention. At step 200, the compression routine receives the data to be transmitted and compressed from the application producing them. Step 205 illustrates the operations in which time supervision is set to ensure that the data are transmitted on time, for example in the next transmission 35 timeslot. At step 210, the header 1 of the frame F is compressed with an algorithm optimized for this purpose. At step 215, the data section 2 is

compressed by a few, in this case three, of the best algorithms up to that point. In association with the compression, a parameter is maintained for each algorithm, illustrating its efficiency, such a parameter being for example the sliding average of the compression ratio. At step 220, the data section 2 is

5 compressed with as many of the remaining algorithms as possible within the time supervision, in this example with two algorithms. The identifiers of the algorithms tested at this step are stored in memory, and next time at step 220, other algorithms are tested. The testing may be begun with the algorithm that follows the one tested last. When the time supervision 225 expires, for

10 example when a transmission turn approaches, the order from best to worst of the algorithms is updated at step 230, and the data are transmitted at step 235 compressed with the algorithm that produced the best result within the time available.

The preferred embodiment of the invention relates to compressing

15 data on a communication link and particularly in a packet radio network. The invention is applicable for use in other types of telecommunication systems and in data processing systems which have at least one distinct bottleneck restricting the capacity of the entire system. Therefore, it is obvious for a person skilled in the art that upon advancements in technology the basic idea

20 of the invention may be implemented in many ways. The invention and its embodiments are consequently not restricted to the examples described above but they may vary within the scope of the claims.

References:

25 [1] V. Jacobson: Compressing TCP/IP headers for low-speed serial links (Request For Comments 1144).

## CLAIMS

1. A method for compressing and transmitting data on a connection between two parties in a telecommunication system comprising at least one slow transmission channel (Um), the method comprising:
  - 5 assembling the data to be transmitted into frames (F) which contain at least a header section (1) and a data section (2), and compressing at least one section (1, 2) of at least some of the frames (F) prior to transmission,
  - 10 making at least two different compression algorithms available to the transmitting party,  
making at least two different decompression algorithms available to the receiving party,  
**c h a r a c t e r i z e d** in that the transmitting party:
    - 15 compresses at least one section (1, 2) of at least some of the frames (F) with at least two different compression algorithms;  
selects the compression algorithm that yielded the best compression ratio; and
    - 20 transmits the frame (F) over the slow transmission channel (Um) to the receiving party, compressed with said selected compression algorithm.
  2. A method as claimed in claim 1, **c h a r a c t e r i z e d** in that the parties negotiate the compression algorithms to be used on the connection at least at the beginning of the connection.
  3. A method as claimed in claim 2, **c h a r a c t e r i z e d** by the first party sending to the second party an inquiry concerning the available algorithms, to which the second party responds by sending a list containing information on the algorithms available to the second party.
  4. A method as claimed in claim 2, **c h a r a c t e r i z e d** by the second party selecting the algorithms that both the parties support from the list sent by the first party.
  5. A method as claimed in claim 2, **c h a r a c t e r i z e d** by the second party transmitting a list of the algorithms available to it regardless of which algorithms the first party has available.
  6. A method as claimed in claim 2, **c h a r a c t e r i z e d** by the first party transmitting a brief test message compressed separately with each algorithm being tested, and the second party responding with an affirmative ac-

knowledgment if it is capable of decompressing the message, and if not, with a negative acknowledgment.

7. A method as claimed in any one of claims 2 - 6, **characterized** in that both parties have a decompression algorithm available for 5 substantially each compression algorithm.

8. A method as claimed in any one of claims 2 - 6, **characterized** in that at least one of the parties may have available a decompression algorithm without a corresponding compression algorithm or vice versa, and that the parties negotiate separately the compression and decompression 10 algorithms available to them.

9. A method as claimed in any one of claims 2 - 8, **characterized** in that the negotiation between the parties is transmitted on an encrypted channel.

10. A method as claimed in any one of claims 2 - 9, **characterized** in that the identifiers of the compression algorithms are changed 15 between successive connections and/or during one connection.

11. A method as claimed in any one of claims 1 - 10, **characterized** by

20 compressing the header sections (1) of the frames (F) with an algorithm which is substantially the same between two successive frames (F), and compressing the data sections (2) of the frames (F) with at least two different algorithms of which the one is selected that yields the best compression result.

12. A method as claimed in any one of claims 1 - 11, **characterized** in that when the compression algorithm changes the transmitting 25 party separately transmits information on the change to the receiving party.

30 14. A method as claimed in any one of the previous claims, **characterized** by

compressing a frame (F), which is advantageously the first one representing a specific type of data, with substantially all the algorithms known to both parties,

35 compressing the subsequent frames (F) first with the algorithm that at the previous frame (F) produced the best result,

discontinuing to carry out the other algorithms if the length of the frame (F) compressed with the algorithm in question exceeds the length of the frame (F) compressed with up to that point the best algorithm.

15. A method as claimed in any one of the previous claims,  
5 characterized by the transmitting party being assigned a restricted time for the compression, such as the time between two successive transmit turns in time division multiple access systems.

16. A method as claimed in claim 15, characterized in that at the end of the restricted time the transmitting party discontinues testing the 10 compression algorithms and transmits the frame (F) compressed with the algorithm that up to that point has yielded the best compression result.

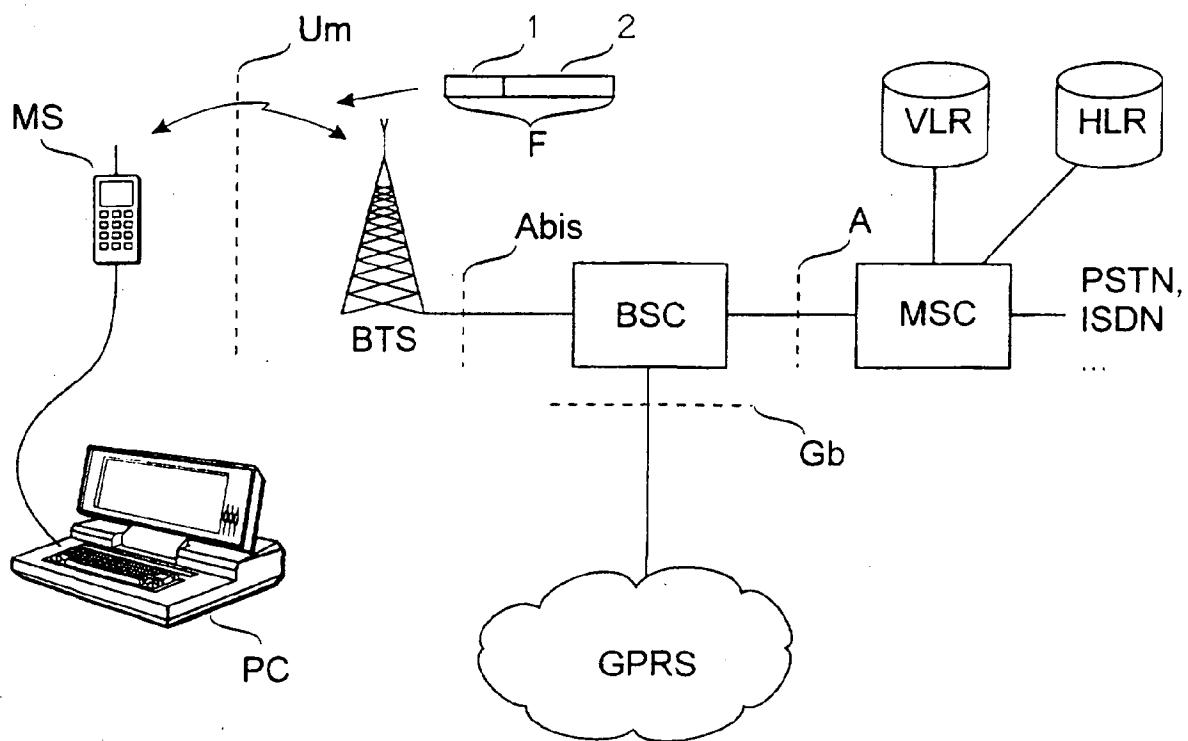
17. A method as claimed in claim 16, characterized by maintaining, for the different compression algorithms, a parameter 15 indicating efficiency, advantageously a sliding average of the compression ratio,

compressing each frame (F) or a section (1, 2) thereof with a few, advantageously 1 - 3 of the best compression algorithms up to that point, in an order determined by the parameter indicating efficiency, and

testing, in the remaining part of the restricted time, the remaining 20 algorithms alternately so that at successive frames (F) different algorithms are tested.

1/2

Fig. 1



2/2

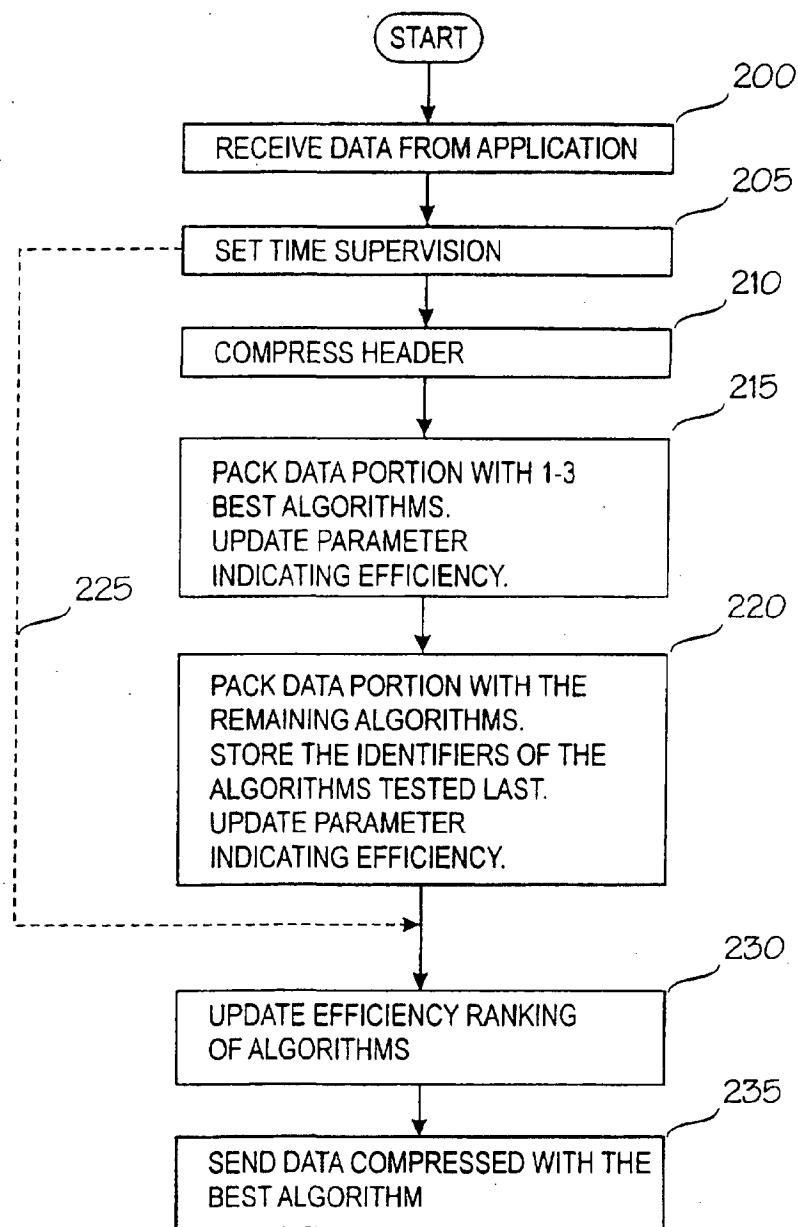


Fig. 2A

ALGORITHM 1  
ALGORITHM 2  
ALGORITHM 3 } 215  
ALGORITHM 4  
ALGORITHM 5  
ALGORITHM 6 } 220  
ALGORITHM 7  
ALGORITHM 8  
ALGORITHM 9  
ALGORITHM 10  
ALGORITHM 11  
ALGORITHM 12

Fig. 2B

## INTERNATIONAL SEARCH REPORT

1

International application No.

PCT/FI 97/00345

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04L 12/56, H04Q 7/30, H04Q 7/32

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04L, H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EDOC, WPIL, JAPIO

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 9414273 A1 (VOXON INTERNATIONAL PTY. LIMITED), 23 June 1994 (23.06.94), page 3, line 12 - line 14; page 5, line 6 - line 9; page 12, line 13 - line 28, page 15, line 18-27, page 18 line 26 - page 19, line 14.	1,11-13
Y		2-5,7-10
A		6,14-17
	--	
Y	US 5452287 A (STEPHEN DICECCO), 19 Sept 1995 (19.09.95), column 2, line 51 - column 3, line 2, abstract	2-5,7-10
A		6,11-17
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 Further documents are listed in the continuation of Box C. See patent family annex.

- \* Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

3 November 1997

Date of mailing of the international search report

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 97/00345

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5396228 A (MASOOD GARAH), 7 March 1995 (07.03.95), abstract	1-17
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A	EP 0595406 A1 (PHILIPS ELECTRONICS N.V.), 4 May 1994 (04.05.94), column 1, line 1 - line 16; column 1, line 52 - column 2, line 14	1-17
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A	WO 9502873 A1 (PHILIPS ELECTRONICS N.V.), 26 January 1995 (26.01.95), page 1, line 7 - line 11; page 3, line 25 - page 4, line 10	1-17
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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI97/00345

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  **Claims Nos.:**  
because they relate to subject matter not required to be searched by this Authority, namely:
  
  
  
  
  
  
2.  **Claims Nos.:**  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
  
  
  
  
  
3.  **Claims Nos.:**  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

See next sheet!

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
  
  
  
  
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

The technical feature common to all of claims 1 to 17 is the negotiation of compression algorithms to be used. This technical feature is expressed in claim 1.

However, the search has revealed that this technical feature is not novel since it is disclosed in patent application WO, 94 14273, A1, (VOXSON INTERNATIONAL PTY. LIMITED), 23 June 1994 (23.06.94), page 12, lines 13 - 28 and page 18, line 26 - page 19, line 14.

Consequently the common feature is not a special technical feature within the meaning of PCT Rule 13.2 since it makes no contribution over the prior art.

Claims 2 - 13 are directed to a method for negotiating which compression algorithm to use and claims 14 - 17 are directed to a method for data compression. Consequently it appears that, a posteriori, claims 2 - 13 and 14 - 17 do not comply with the requirement of unity of invention.

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

01/10/97

International application No.

PCT/FI 97/00345

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